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Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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U.S. Department of Commerce
Patent and Trademark Office

TRANSMITTAL FORM

(to be used for all correspondence during pendency of filed application)

Application Number	**09/883,101**
Filing Date	**June 15, 2001**
First Named Inventor	**Jack B. Strong *et al.***
Group Art Unit Number	**2152**
Examiner Name	**Unassigned**
Total Number of Pages in This Submission: **9***	Attorney Docket Number: **21495-05944**

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ENCLOSURES *(check all that apply)*

- ☐ Fee Transmittal Form (in duplicate)
 - ☐ Check Enclosed
- ☒ Return Receipt Postcard
- ☐ Response to Notice to File Missing Parts
- ☐ Assignment & Recordation Cover Sheet
- ☐ Declaration
- ☐ Power of Attorney
- ☐ Application Data Sheet
- ☒ Supplemental Information Disclosure Statement & PTO-1449
 - ☒ Copies of IDS (48) Cited References
- ☐ Request for Corrected Filing Receipt
- ☐ Request for Correction of Recorded Assignment
- ☐ Amendment/Response: [] Page(s)
 - ☐ After Final
- ☐ Status Request
- ☐ Revocation and Substitute Power of Attorney
- ☐ Issue Fee Transmittal
- ☐ Letter to Chief Draftsperson
- ☐ Formal Drawing(s):
 - [] Sheet(s) of Figure(s) []
- ☐ Appeal Communication to Board of Appeals and Interferences
- ☐ Appeal Communication to Group *(Appeal Notice, Brief, Reply Brief)*
- ☐ Certified Copy of Priority Document(s)
- ☐ After Allowance Communication to Group
- ☐ _____
- ☐ _____
- ☐ _____
- ☐ _____
- ☐ _____
- ☐ _____

REMARKS: * references not included in page count

SIGNATURE OF ATTORNEY OR AGENT

Signature:			
Attorney/Reg. No.:	**Thomas L. Ewing, Reg. No. 34,328**	Dated:	**July 3, 2002**

CERTIFICATE OF MAILING

I hereby certify that this correspondence, including the enclosures identified above, is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Box Non-Fee Amendment, Commissioner for Patents, Washington, D.C. 20231 on the date shown below. If the Express Mail Mailing Number is filled in below, then this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service pursuant to 37 CFR 1.10.

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IN THE UNITED STATES

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OIPE JC182 JUL 09 2002 PATENT & TRADEMARK OFFICE

APPLICANT:	Jack B. Strong *et al.*
APPLICATION NO.:	09/883,101
FILING DATE:	June 15, 2001
TITLE:	APPARATUS AND METHOD FOR SUPPLYING ELECTRONIC CONTENT TO NETWORK APPLIANCES
EXAMINER:	Unknown
GROUP ART UNIT:	2152
ATTY. DKT. NO.:	21495-05944

CERTIFICATE OF MAILING

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Dated: July 3, 2002 By: _____
Thomas L. Ewing, Reg. No. 34,328

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COMMISSIONER FOR PATENTS
WASHINGTON, DC. 20231

SUPLEMENTAL INFORMATION DISCLOSURE STATEMENT
Under 37 CFR §§ 1.56 and 1.97-98

SIR:

Pursuant to the provisions of 37 CFR §§ 1.56 and 1.97-98, enclosed herewith is modified form PTO-1449 listing references for consideration by the Examiner. Enclosed is a copy of each listed reference that may be material to the examination of this application, and for which there may be a duty to disclose.

The filing of this Information Disclosure Statement shall not be construed as a representation regarding the completeness of the list of references, or that inclusion of a reference in this list is an admission that it is prior art or is pertinent to this application, or that a search has been made, or as an admission that the information listed is, or may be

considered to be, material to patentability, or that no other material information exists, and shall not be construed as an admission against interest in any manner.

This Information Disclosure Statement is being filed:

- ☒ within three months of the filing date of the application, or date of entry into the national stage of an international application, or before the mailing date of a first office action on the merits, whichever event last occurred;
- ☐ before the mailing of a first official action after the filing of a request for continued examination (RCE) under 37 CFR § 1.114;
- ☐ after three months of the filing date of this national application or the date of entry of the national stage in an international application, or after the mailing date of the first official action on the merits, whichever event last occurred, but before the mailing date of the first to occur of either: (1) a final action under 37 CFR §1.113; or (2) an action that otherwise closes prosecution in the application, and:
 - ☐ attached hereto is the fee set forth under 37 CFR §1.17(p) for submission of this Information Disclosure Statement under 37 CFR.§ 1.97(c); OR
 - ☐ Applicant certifies pursuant to 37 CFR § 1.97(e) that:
 - ☐ each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement; OR
 - ☐ no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing this certification after making reasonable inquiry, no item of information contained in this Statement was known to any individual designated under 37 CFR § 1.56(c) more than three months prior to the filing of this Statement;

☐ before the payment of the issue fee but after the mailing date of the first to occur of either: (1) a final action under 37 CFR § 1.113; or (2) an action that otherwise closes prosecution in the application, and:

- ☐ Applicant certifies pursuant to 37 CFR. § 1.97(e) that:
 - ☐ each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement; or
 - ☐ no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing this certification after making reasonable inquiry, no item of information contained in this Statement was known to any individual designated under 37 CFR § 1.56(c) more than three months prior to the filing of this Statement; AND
- ☐ attached hereto is the fee set forth under 37 CFR §1.17(p) for submission of this Information Disclosure Statement under 37 CFR.§ 1.97(c); OR

☐ after the payment of the issue fee. Applicant request that the information contained in this Information Disclosure Statement be placed in the file according to 37 CFR § 1.97(i), although the information may not be considered by the USPTO.

☐ This application relies, under 35 U.S.C. § 120, on the earlier filing date of prior application No. [APPLICATION NUMBER], filed on [FILING DATE], and the references cited therein are hereby referenced, but are not required to be provided in this application under 37 CFR § 1.98(d).

☐ Each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart application, and the communication was not received by any individual designated in 37 CFR § 1.56(c) more than thirty days prior to the filing of this Information Disclosure Statement. 37 CFR § 1.704(d).

☒ Applicant submits that no fee is required for the consideration of this Supplemental Information Disclosure Statement.

Consideration of the listed references and favorable action are solicited.

Respectfully submitted,
JACK B. STRONG *et al.*

Dated: July 3, 2002

By: _____

Thomas L. Ewing, Reg. No. 34,328
Fenwick & West LLP
Two Palo Alto Square, Suite 700
Palo Alto, California 94306
Telephone (415) 875-2336
Fax (415) 281-1350

FORM PTO-1449 (REV. 6-89)	U.S. DEPARTMENT OF COMMERCE Patent and Trademark Office	Attorney's Docket No. 21495-05944	Serial No. 09/883,101
INFORMATION DISCLOSURE CITATION (Use several sheets if necessary)		Applicant: Jack B. Strong *et al.*	
		Filing Date: June 15, 2001	Group Art Unit: 2152

OIPE JUL 09 2002 JC12 PATENT & TRADEMARK OFFICE

U.S. PATENT DOCUMENTS

Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate
	A12	5,977,972	11/02/1999	Bates et al.	345	341	
	A13	5,960,074	09/28/1999	Clark	379	310	
	A14	5,948,061	09/07/1999	Merriman et al.	709	219	
	A15	5,923,736	07/13/1999	Shachar	379	93.17	
	A16	5,918,236	06/29/1999	Wical	707	500	
	A17	5,913,040	06/15/1999	Rakavy et al.	395	200.62	
	A18	5,889,852	03/30/1999	Rosecrans et al.	379	355	
	A19	5,877,757	03/02/1999	Baldwin et al.	345	336	
	A20	5,870,683	02/09/1999	Wells et al.	455	566	
	A21	5,854,897	12/29/1998	Radziewcz et al.	395	200.54	
	A22	5,854,624	12/29/1998	Grant	345	169	

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FOREIGN PATENT DOCUMENTS

		Document Number	Date	Country	Class	Subclass	Translation Yes	Translation No
	B1	WO 97/26729	07/24/1997	PCT Application				No
	B2	WO 98/47090	10/22/1998	PCT Application				No
	B3	EP0797368 A2	03/18/1997	European Patent Application				No
	B4	03204259	05/09/1991	Patent Abstracts of Japan				Yes

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

	C10	Kamada, T., Compact HTML for Small Information Appliances, W3 Consortium Note 02/09/98, pp. 1-12, USA
	C11	UP SDKDocumentation: HDML 2.0 Language Reference Version 2.0, Unwired Planet, July 1997, pp. 1-56, Redwood Shores, CA
	C12	Hara, Y., W3C Putting Compact HTML, HDML to Test for Net Access - Mobile Markup Languages Face Off, Electronic Engineering Times, No. 1001, 04/06/98, pp. 1-2, Tokyo, Japan
	C13	Hyland, T., Handheld Device Markup Language FAQ, Unwired Planet, W3 Consortium Note, 04/1/97, pp. 1-3, Redwood Shores, CA

EXAMINER	DATE CONSIDERED

EXAMINER: Initial if references considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

PTO-1449
REV: 02/01

FORM PTO-1449 (REV. 6-89)	U.S. DEPARTMENT OF COMMERCE Patent and Trademark Office	Attorney's Docket No. 21495-05944	Serial No. 09/883,101
INFORMATION DISCLOSURE CITATION (Use several sheets if necessary)		Applicant: Jack B. Strong *et al.*	
		Filing Date: June 15, 2001	Group Art Unit: 2152

OIPE JUL 09 2002 PATENT & TRADEMARK OFFICE

U.S. PATENT DOCUMENTS

Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate
	A23	5,852,775	12/22/1998	Hidary	455	404	
	A24	5,850,433	12/15/1998	Rondeau	379	201	
	A25	5,848,397	12/08/1998	Marsh et al.	705	14	
	A26	5,835,861	11/10/1998	Whiteside	455	466	
	A27	5,838,314	11/17/1998	Neel et al.	345	327	
	A28	5,818,446	10/06/1998	Bertram et al.	345	334	
	A29	5,809,317	12/15/1998	Kogan et al.	395	762	
	A30	5,781,894	07/14/1998	Petrecca et al.	705	14	
	A31	5,732,074	03/24/1998	Spaur et al.	370	313	
	A32	5,708,478	01/13/1998	Tognazzini	348	552	
	A33	5,704,029	12/30/1997	Wright, Jr.	395	149	

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		Document Number	Date	Country	Class	Subclass	Translation Yes	Translation No

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

	C14	Intelligent User Interface Prompt Level, IBM Technical Disclosure Bulleting, volume 35, no. 1A, 06/01/92, pp. 25-26, Armonk, New York
	C15	Stajano, F., et al.; The Thinnest of Clients: Controlling It All Via Cellphone, Mobile Computing and Communications Review, Vol. 2, No. 4, pp. 1-8 (October 1998).

EXAMINER | DATE CONSIDERED

EXAMINER: Initial if references considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

PTO-1449

REV: 02/01

FORM PTO-1449 (REV. 6-89)	U.S. DEPARTMENT OF COMMERCE Patent and Trademark Office	Attorney's Docket No. 21495-05944	Serial No. 09/883,101
INFORMATION DISCLOSURE CITATION (Use several sheets if necessary)		Applicant: Jack B. Strong *et al.*	
		Filing Date: June 15, 2001	Group Art Unit: 2152

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U.S. PATENT DOCUMENTS

Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate
	A34	5,673,322	09/30/1997	Pepe et al.	380	49	
	A35	5,572,643	11/05/1996	Judson	395	793	
	A36	5,513,254	04/30/1996	Markowitz	379	100	
	A37	5,398,021	03/14/1995	Moore	340	825.27	
	A38	5,305,195	04/19/1994	Murphy	364	401	

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FOREIGN PATENT DOCUMENTS

		Document Number	Date	Country	Class	Subclass	Translation Yes	Translation No

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER	DATE CONSIDERED

EXAMINER: Initial if references considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

PTO-1449
REV: 02/01